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**OCT 12 2004**

1107348-0008

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Nghi Pham et al.  
Serial No. : 10/671,856  
Filed : September 25, 2003  
For : Friction Assembly and Method for Manufacturing Same  
Examiner : Robert Siconolfi  
Group Art Unit : 3683  
Docket No. : 1107348-0008

<b>CERTIFICATE OF TRANSMISSION UNDER 37 C.F.R. §1.8</b>	
I hereby certify that this paper is being facsimile transmitted to the United States Patent and Trademark Office on the date indicated below at the facsimile number <u>1-703-872-9306</u> .	
Andrew Fessak	48,528
Agent Name	PTO Reg. No.
<i>Andrew Fessak</i>	10/12/04
Signature	Date of Signature

**Attention:** Examiner Robert Siconolfi  
**Facsimile No.:** 1-703-872-9306  
**Pages:** 4 pages total

**Mail Stop Amendment**  
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P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT  
BEFORE MAILING OF EITHER A FINAL ACTION  
OR NOTICE OF ALLOWANCE (37 C.F.R. §1.97(c))**

Sir:

Applicants submit this communication and the attached Form PTO/SB/08A in compliance with 37 C.F.R. §§1.56, 1.97 and 1.98. Consideration of the document listed on Form PTO/SB/08A is respectfully requested.

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**Copies of Cited U.S. Patent Documents are Not Provided**

As announced in the Official Gazette of August 5, 2003, the requirement under 37 CFR 1.98(a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC 371 after June 30, 2003 has been waived.

Accordingly, copies of any U.S. patents and/or published patent applications cited on the attached Form PTO/SB/08A are not provided with this Information Disclosure Statement.

**Time of Transmittal of Information Disclosure Statement**

This Information Disclosure Statement is being filed after three months of the filing date of this national application or the entry of the national stage as set forth in §1.491 in an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but before the mailing date of either:

- (1) a final action under §1.113 or
- (2) a notice of allowance under §1.311,

whichever occurs first.

**STATEMENT OR FEE**

Accompanying this Information Disclosure Statement is

- A. ☒ a statement as specified in 37 C.F.R. §1.97 (e).

OR

- B. ☐ the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00).

**STATEMENT OR FEE**

No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained

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in this Information Disclosure Statement was known to any individual designated in 37 C.F.R.  
§1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

If any fees are due for submission of this communication, please charge the fees to  
Deposit Account No. 23-1703.

Dated: Oct. 12, 2004

Respectfully submitted,

Andrew Fessak

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PTO/SB/08A (08-03)(modified)

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Substitute for form 1449/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)		Application Number	10/671,856
		Filing Date	September 25, 2003
		First Named Inventor	Nghi Pham et al.
		Art Unit	3683
		Examiner Name	Robert Siconolfi
		Attorney Docket Number	1107348-0008
Sheet	1	of	1

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages/Figures Appear
		US-2004/0134725 A1	July 15, 2004	Bosco et al.	

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T

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